

Transaction slip for Trigger on Maturity date of the Scheme (Applicable for Multiple Yield Funds, Capital Protection Oriented Funds, Fixed Maturity Plans and Close ended equity schemes)

(For existing unitholders only)

This form should be completed in ENGLISH and in BLOCK LETTERS only. All fields are Mandatory.



BROKER CODE (ARN CODE)/ RIA/PMRN CODE#	SUB-BROKER ARN CODE	SUB-BROKER CODE (As allotted by ARN holder)	Employee Unique Identification No. (EUIIN)
#By mentioning RIA/PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.			
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.			
Declaration for "execution-only" transaction (only where EUIIN box is left blank). I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.			

Date:

DD / MM / YYYY

✗	Signature	Signature	Signature
Sole/First Applicant	Second Applicant	Third Applicant	

FOLIO NO.:	TAX STATUS:	MODE OF HOLDING:	
Sole/1st Applicant (Mandatory)	Name of the Unitholder(s)	PAN*	
2nd Applicant			KYC Status*
3rd Applicant			

In case of direct application, please write as 'DIRECT' against broker code. * PAN & KYC are mandatory for all applicants including NRIs.

Trigger on Maturity of the Scheme: On maturity date of the Source Scheme, all the units can be switched into the pre-selected open-ended Target schemes of ICICI Prudential Mutual Fund. The trigger facility is available only for the investor who holds units under physical mode.

Source Scheme: ICICI Prudential _____ Plan _____ Option: _____ Sub-Option: _____	Target Scheme: (Please select any of the open-ended schemes) ICICI Prudential _____ Plan _____ Option: _____ Sub-Option: _____ if option/sub-options are not selected, it will be switched to the default option (available under the Target Schemes)
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YOUR CONFIRMATION/DECLARATION

I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. I/We hereby declare that I am/we are not US Person(s).

I/We have read and understood the terms and conditions applicable to the trigger facility and am/ are fully aware of the risk associated with such event.

I/We have read and understood the Scheme Information Document (SID)/ Statement of Additional Information (SAI) and Key Information Memorandum (KIM) of the Target Scheme and have understood the investment objectives, investment pattern, and risk factors applicable to Target Scheme. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in opting the Trigger facility.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/we have not been offered/communicated any indicative portfolio and/or any indicative yield for this investment.

I/We are interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. I/we declare that the email address provided in the form belongs to me/us or to spouse, dependent children or dependent parents (applicable to individual investors only).

✗	Signature(s)	Signature(s)	Signature(s)
Sole/First Applicant	Second Applicant	Third Applicant	

Trigger on Maturity - ACKNOWLEDGEMENT SLIP (To be filled by the investor)

Target Scheme: ICICI Prudential _____ Regular Plan Direct Plan Option: _____

Name of the Investor:

Folio No. Date:

Trigger from: Scheme*, Plan & Option

* This facility is applicable only for Multiple Yield Fund, Capital Protection Oriented Fund, Fixed Maturity Plans and close ended equity schemes of ICICI Prudential Mutual Fund.

Terms and Conditions

1. Folio number is mandatory.
2. Trigger on maturity date facility will switch all the units to the target scheme within the respective Folio Number of the investor.
3. Any of the Open Ended Schemes of ICICI Prudential Mutual Fund can be a target scheme (except Exchange Traded Funds).
4. For Trigger on maturity date facility to happen the minimum purchase/redemption criteria should be met else the Trigger on maturity date will not be effected.
5. Trigger on maturity date facility on each scheme will require a separate/independent Trigger on maturity date request form to be filled. If an investor holds similar schemes in two folios, they will have to register separately for it mentioning the folio number.
6. Target scheme where units will be switched, if option/sub-options are not selected, it will be switched to the default option (available under the Target Schemes).
7. Switch will be implemented on the Maturity Date of the Source Scheme. The Trigger on maturity date is a one time operation and will cease once it is exercised.
8. Once switch is done exercising Trigger on maturity date option, the same will not be reversed whatsoever and it will be final and binding.
9. If Trigger on maturity date is not activated and/or implemented due to reasons which are beyond the control of ICICI Prudential AMC Ltd. (the AMC), the AMC will not be responsible. Trigger on maturity date facility is only a facility extended by the AMC for the convenience of the unit holders and does not form part of any scheme/fund objectives.
10. AMC reserves the right to amend/terminate this facility at any time, keeping in view business/operational exigencies and the same shall be in the best interest of the investors.
11. The investor is being provided a facility to give the trigger switch request from the Multiple Yield Funds, Capital Protection Oriented Funds, Fixed Maturity Plans and Close ended equity schemes, much in advance of the maturity of the fund. At a future date changes can arise in the target scheme which can prevent the AMC from processing the switch request. In such cases the switch request would be considered NULL & VOID and the investor would have to re-submit the Trigger on maturity date request. Few examples of such changes are -
 - a. Change in fundamental attribute of the target Scheme.
 - b. Merger of the target scheme into any other scheme.
 - c. Any change in the target scheme specifications which restricts the AMC from accepting the switch request. For example : Maximum and Minimum amount size
 - d. When Target Scheme has stopped accepting fresh subscriptions in the Scheme.
12. In case folio consolidation is requested by the investor or transmission processed in the folio, the existing trigger on maturity request would be considered Null & Void.
13. The investor would be allowed to re-register a fresh trigger on maturity request as per existing process.
14. In case of change of broker or change of investment status as "direct" after closing of New Fund Offer, the new broker code would be updated for existing investments and for existing switch request.
15. Conversion of physical unit to demat mode will nullify any existing / future trigger on maturity request and the request cannot be re-submitted.
16. Trigger on maturity date facility will be effected only when the terms and conditions of the said facilities are fulfilled.
17. In case of Trigger on maturity date facility, the switch into the open ended scheme will be subject to applicable cut off timings provisions.
18. In case of submission of multiple requests for registration of trigger on maturity from the same source scheme through different modes, the AMC reserves the right to accept any one such request and cancel the rest of the requests.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.